

PATENT Attorney Docket No. FJN-060DV (3999/63)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Goto et al.

**SERIAL NO.:** 

09/062,113

**GROUP NO.:** 

1647

FILING DATE:

April 17, 1998

**EXAMINER:** 

Romeo, D.

TITLE:

NOVEL PROTEINS AND METHODS FOR PRODUCING THE

**PROTEINS** 

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

# SUBMISSION OF SUBSTITUTE SPECIFICATION UNDER 37 C.F.R. 1.125

(check and complete this item, if applicable)

#### 2. Submitted herewith is/are

- A. Substitute Specification, excluding the claims, drawings and sequence listing, reflecting the amendments presented in the Preliminary Amendment filed April 17, 1998 for this application; pagination in the Substitute Specification does not directly correspond with pagination in the specification as originally filed;
- **B.** a marked-up copy of the Substitute Specification showing the matter being added to and the matter being deleted from the specification of record.

Submission of Substitute Specification Serial No. 09/062,113 Page 2 of 2

#### **STATEMENT**

# 3. I hereby state that:

the Substitute Specification includes no new matter.

Respectfully submitted,

Date: November 6, 2001

Reg. No. 44,244

Tel. No.: (617) 248-7044

Fax No.: (617) 248-7100

Ronda P. Moore, D.V.M.

Attorney for Applicants

Testa, Hurwitz, & Thibeault, LLP

High Street Tower 125 High Street

Boston, Massachusetts 02110

2215068



PATENT Attorney Docket No. FJN-060DV (3999/63)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):

Goto et al.

09/062,113

GROUP NO.:

1647

DEC 1 0 2001

RECEIVED

FILING DATE:

**SERIAL NO.:** 

April 17, 1998

**EXAMINER:** 

Rom TECH CENTER 1600/2900

TITLE:

NOVEL PROTEINS AND METHODS FOR PRODUCING THE

**PROTEINS** 

### CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on this 6th day of November, 2001.

July Hesthaver

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Submitted herewith is/are:

Transmittal Form (1 pg.); Amendment and Response (3 pgs.); Submission of Substitute Specification (2 pgs.); Marked Copy of Substitute Specification (91 pgs.); Substitute Specification (67 pgs.); and postcard.

2214187

OLE	
DEC 0 7 2001 S	
TRANSMITTER	
FORM	

Application Serial Number	09/062,113
Filing Date	April 17, 1998
First Named Inventor	Goto et al.
Group Art Unit	1647
Examiner Name	Romeo, D.
Attorney Docket No.	FJN-060DV
BATCH NO. (after allowance)	Not applicable
Patent No.	Not applicable
Issue Date	Not applicable

			Filing Date	April 17, 1998		ril 17, 1998	
			First Named	d Inventor (		Goto et al.	
			Group Art U	Init	164	1647	
		Examiner Name		Ro	Romeo, D.		
FORM		Attorney Docket No.		FJN	FJN-060DV		
		BATCH NO. (after allowance)		No	Not applicable		
		Patent No.		No	Not applicable		
		Issue Date		No	Not applicable		
	· · · · · · · · · · · · · · · · · · ·	ENG	CLOSURES (c.	heck all that apply)			
☐ Fee	Transmittal Form			e to File Missing		Notice of Appeal to Board	
	Check Attached		Parts of Applic	cation (PTO-1553)	i	of Patent Appeals and Interferences	
	Creek Attached Copy of Fee Transmittal Form		Formal Drawin	ng(s)		Appeal Brief (in triplicate)	
Amendment/Response			Examination (RCE)			Status Inquiry	
	Letter to Official Draftsperson including Drawings		Transmittal	ittal		Return Receipt Postcard	
			Power of Attorney (Revocation of Prior Powers)			Certificate of First Class Mailing under 37 C.F.R. 1.8	
			] Terminal Disclaimer			Certificate of Facsimile Transmission under 37 C.F.R. 1.8	
	Petition for Extension of Time		Executed Declaration and Power of Attorney for Utility or Design Patent Application			Additional Enclosure(s) (please identify below)	
	Information Disclosure Statement		Small Entity S	tatement		Submission of Substitute Specification	
Form PTO-1449 Copies of IDS Citations			CD(s) for large table or computer program		$\boxtimes$	Marked Copy of Substitute Specification	
	☐ Certified Copy of Priority ☐		Amendment After Allowance		$\boxtimes$	Substitute Specification	
	Document(s)  Sequence Listing submission Paper Copy/CD Computer Readable Copy Statement verifying identity of above		Request for Certificate of Correction Certificate of Correction (in duplicate)				
CORRESPONDENCE ADDRESS			SIGNATURE BL	OCK			
Direct all correspondence to:  Patent Administrator Testa, Hurwitz & Thibeault, LLP High Street Tower 125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100		nibeault, LLP	Respectfully submitted,  Date: November 6, 2001 Reg. No. 44,244 Tel. No.: (617) 248-7044 Fax No.: (617) 248-7100  Respectfully submitted,  Ronda P. Moore, D.V.M. Attorney for Applicants Testa, Hurwitz & Thibeault, LLP High Street Tower 125 High Street Boston, MA 02110				